

**South Lakeland District Council**  
**Council**  
**Wednesday, 18 May 2022**  
**Chair of the Audit Committee's Annual Report**  
**2021/22**

---

**Portfolio:** Cllr Stephen Coleman  
**Report from:** Section 151 Officer  
**Report Author:** Helen Smith – Finance Lead Specialist (Section 151 Officer)  
**Wards:** (All Wards);  
**Forward Plan:** Not applicable

**Links to Council Plan Priorities:** Good governance arrangements link to the Council's achievements of its Council Plan priorities and objectives.

---

**1.0 Expected Outcome and Measures of Success**

1.1 This report is presented to summarise the activities of the Audit Committee during the 2021/22 Council year.

**2.0 Recommendation**

**2.1 It is recommended that Council receives the Chair of the Audit Committee's Annual Report for 2021/22.**

**3.0 Background and Proposals**

3.1 The terms of reference of the Audit Committee require the Committee to report annually to Council on its work for the year. As well as informing Councillors generally, the report helps to demonstrate the continuing effectiveness of the Committee.

3.2 The attached report describes the Committee's work during 2021/22, grouped under functional headings. The Council year has been chosen for report purposes although this means that some cases do not fit the normal reporting cycle.

3.3 For 2021/22 the following members served on Audit Committee:

Stephen Coleman (Chairman)	Tracey Coward (Vice Chairman)
Giles Archibald	Kevin Holmes
Pete Endors	Kevin Lancaster
Matt Severn	

**4.0 Appendices Attached to this Report**

Appendix No.	Name of Appendix
1	Chair of the Audit Committee's Annual Report 2021/22

**5.0 Consultation**

5.1 The draft annual report was considered by Audit Committee on 21 April 2022.

## 6.0 Alternative Options

6.1 The Committee's terms of reference require the Chairman to produce this report; its contents are subject to consideration by Members.

## 7.0 Implications

### Financial, Resources and Procurement

7.1 This report has no direct financial implications.

### Human Resources

7.2 This report has no direct human resources implications

### Legal

7.3 There are no legal implications arising from this report.

### Health and Sustainability Impact Assessment

7.4 Have you completed a Health and Sustainability Impact Assessment? No

7.5 If you have not completed an Impact Assessment, please explain your reasons: this report relates to a review of corporate governance and is considered to have no Health, Social, Economic and Environmental impacts.

7.6 Summary of Health and Sustainability Impacts

		Positive	Neutral	Negative	Unknown
<b>Environment and Health</b>	Greenhouse gases emissions		X		
	Air Quality		X		
	Biodiversity		X		
	Impacts of Climate Change		X		
	Reduced or zero requirement for energy, building space, materials or travel		X		
	Active Travel		X		
<b>Economy and Culture</b>	Inclusive and sustainable development		X		
	Jobs and levels of pay		X		
	Healthier high streets		X		
	Culture, creativity and heritage		X		
<b>Housing and Communities</b>	Standard of housing		X		
	Access to housing		X		
	Crime		X		

### Equality and Diversity

7.7 Have you completed an Equality Impact Analysis? No

7.8 If you have not completed an Impact Analysis, please explain your reasons: this report relates to a review of corporate governance and is considered to have no Equality and Diversity impacts.

7.9 Summary of Equality and Diversity impacts

<b>Please indicate: P = Positive impact; 0 = Neutral; N = Negative; Enter "X"</b>					
<b>Age</b>	<b>P</b>		<b>0</b>	<b>X</b>	<b>N</b>
<b>Disability</b>	<b>P</b>		<b>0</b>	<b>X</b>	<b>N</b>
<b>Gender reassignment (transgender)</b>	<b>P</b>		<b>0</b>	<b>X</b>	<b>N</b>
<b>Marriage &amp; civil partnership</b>	<b>P</b>		<b>0</b>	<b>X</b>	<b>N</b>
<b>Pregnancy &amp; maternity</b>	<b>P</b>		<b>0</b>	<b>x</b>	<b>N</b>
<b>Race/ethnicity</b>	<b>P</b>		<b>0</b>	<b>X</b>	<b>N</b>
<b>Religion or belief</b>	<b>P</b>		<b>0</b>	<b>X</b>	<b>N</b>
<b>Sex/gender</b>	<b>P</b>		<b>0</b>	<b>X</b>	<b>N</b>
<b>Sexual orientation</b>	<b>P</b>		<b>0</b>	<b>X</b>	<b>N</b>
<b>Armed forces families</b>	<b>P</b>		<b>0</b>	<b>X</b>	<b>N</b>
<b>Rurality</b>	<b>P</b>		<b>0</b>	<b>X</b>	<b>N</b>
<b>Socio-economic disadvantage</b>	<b>P</b>		<b>0</b>	<b>x</b>	<b>N</b>

<b>Risk Management</b>	<b>Consequence</b>	<b>Controls required</b>
No discernible risks in the production of the report		

### Contact Officers

Helen Smith, Finance Lead Specialist (Section 151), South Lakeland District Council, 01539 793333, h.smith@southlakeland.gov.uk

### Background Documents Available

<b>Name of Background document</b>	<b>Where it is available</b>
None	

### Tracking Information

<b>Signed off by</b>	<b>Date sent</b>	<b>Date Signed off</b>
Section 151 Officer	N/A	N/A
Monitoring Officer	N/A	N/A
CMT	N/A	N/A

<b>Circulated to</b>	<b>Date sent</b>
Lead Specialist	N/A
Human Resources Lead Specialist	N/A
Communications Team	N/A
Leader	N/A
Committee Chairman	N/A
Portfolio Holder	N/A

<b>Circulated to</b>	<b>Date sent</b>
Ward Councillor(s)	N/A
Committee	21/04/2022
Executive (Cabinet)	N/A
Council	N/A